**FORM PTO-1449** 

LIST OF PATENTS AND PUBLICATIONS FOR **APPLICANT'S INFORMATION DISCLOSURE STATEMENT** 

| APPLIC | ANT   |      |       |
|--------|-------|------|-------|
| Brian  | Craig | Lee, | t al. |

ATTY. DOCKET NO.

10010463-1

Herewith

| APPLICATION NO. | CONFIRMATION | NO. |
|-----------------|--------------|-----|
|-----------------|--------------|-----|

FILING DATE

GROUP

| _ |                 |            |
|---|-----------------|------------|
|   | <del>-6</del> - |            |
|   | <sup>д</sup> О  |            |
|   | ्राज            | ==         |
| - | N               |            |
|   |                 | <b>=</b>   |
|   | 26              | <b>=</b> 2 |
|   | -CO             | <b>=</b> : |
|   | 40              |            |
|   |                 | ==-        |

(Use several sheets if necessary)

### REFERENCE DESIGNATION

### **U.S. PATENT DOCUMENTS**

| XAMINER |    | DOCUMENT  |              |                      |       | SUB    |  |
|---------|----|-----------|--------------|----------------------|-------|--------|--|
| INITIAL |    | NUMBER    | DATE         | NAME                 | CLASS | CLASS  |  |
| RM      | 1A | 4,197,289 | Apr 08, 1980 | Sturzenegger, et al. | 424   | 21     |  |
| 10      | 1B | 4,285,978 | Aug 25, 1981 | Quinlivan            | 426   | 87     |  |
|         | 1C | 4,771,295 | Sep 13, 2001 | Baker, et al.        | 346   | 1.1    |  |
|         | 1D | 4,925,670 | May 15, 1990 | Schmidt              | 424   | 443    |  |
|         | 1E | 5,070,410 | Dec 03, 1991 | Hadley               | 358   | 296    |  |
|         | 1F | 5,511,726 | Apr 30, 1996 | Greenspan, et al.    | 239   | 102.2  |  |
|         | 1G | 5,543,164 | Aug 06, 1996 | Krochta, et al.      | 426   | 302    |  |
|         | 1H | 5,699,649 | Dec 23, 1997 | Abrams, et al.       | 53    | 428    |  |
|         | 11 | 5,714,007 | Feb 03, 1998 | Pletche, et al.      | 118   | 629    |  |
|         | 1J | 5,894,841 | Apr 20, 1999 | Voges                | 128   | 203.12 |  |
| SMILE   | 1K | 5,960,609 | Oct 05, 1999 | Abrams, et al.       | 53    | 428    |  |

### **FOREIGN PATENT DOCUMENTS**

|     |    | DOCUMENT    | DATE         | NAME                 | 01.400 | SUB<br>CLASS | TRANSLATION |    |
|-----|----|-------------|--------------|----------------------|--------|--------------|-------------|----|
|     |    | NUMBER      |              |                      | CLASS  |              | YES         | NO |
| RNA | 1L | EP1096250A2 | May 02, 2001 | Agilent Technologies | G01N   | 21956        |             |    |
| U   | 1M |             |              |                      |        |              |             |    |
|     | 1N |             |              |                      |        |              |             |    |
|     | 10 |             |              |                      |        |              |             |    |
|     | 1P |             | ·            |                      |        |              |             |    |

# OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| PM  | 10 | 'Development of a High-Resolution Thermal Inkjet Printhead', by William A. Buskirk, David E. Hackleman, Stanley T. Hall, Paula H. Kanerek, Robert N. Low Kenneth E. Trueba, and Richard R. Van de Poll; from the "Hewlett-Packard Journal" October 1998 pp107-113  |
|-----|----|--|
| MY  | 1R | 'Laser-Comparable Inkjet Text Printing', by Jamie H. Bohorques, Brian P. Canfield, Kenneth J. Courian, Frank Frogo, Corrina A.E. Hall, Clayton L. Holstun, Aneesa R. Scandalis, and Michele E. Shepard; from the "Hewlett-Packard Journal" February 1994 pp263-271 |
| PNO | 15 | 'The Third -Generation HP Thermal Inkjet Printhead', by J. Stephen Aden, Jaime H. Bohorquez, Douglas M. Collins, M. Douglas Crook, Andre Garcia and Ulrich E. Hess; from the "Hewlett-Packard Journal" Febrary 1994 pp295-299                                      |

**EXAMINER** 

DATE CONSIDERED

10-20-03

Rev 10/01 (PTO1449)

J...J. .. J. .

| _ | ^ | _ | <br>DI | <br>- | • | • |  |
|---|---|---|--------|-------|---|---|--|
|   |   |   |        |       |   |   |  |

#### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

| ATTY. DOCKET NO.        | APPLICATION NO. | CONFIRMATION | NO. |
|-------------------------|-----------------|--------------|-----|
| 10010463-1              |                 |              |     |
| APPLICANT               |                 |              |     |
| Brian Craig Lee, et al. |                 |              |     |
| FILING DATE             | GROUP           |              | ,   |
| Herewith                |                 |              |     |

### REFERENCE DESIGNATION

## **U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    |    | DOCUMENT<br>NUMBER | DATE         | NAME             | CLASS | SUB<br>CLASS |  |
|---------------------|----|----|--------------------|--------------|------------------|-------|--------------|--|
| PM                  | 1  | 2A | 5,992,890          | Nov 30, 1999 | Simcox           | 283   | 66.1         |  |
| 1                   | Q  | 2B | 6,007,630          | Dec 28, 1999 | Pletcher, et al. | 118   | 624          |  |
|                     |    | 2C | 6,074,688          | Jun 13, 2000 | Pletcher, et al. | 427   | 2.14         |  |
|                     | لم | 2D | 6,063,412          | May 16, 2000 | Hoy              | 426   | 87           |  |
| pur                 |    | 2E | 6,027,758          | Feb 22, 2000 | McHugh, et al.   | 426   | 615          |  |
| 4                   |    | 2F |                    |              |                  |       |              |  |
|                     |    | 2G |                    | ·            |                  | ·     |              |  |
|                     |    | 2H |                    |              |                  |       |              |  |
|                     |    | 21 | ,                  |              |                  | ·     |              |  |
|                     |    | 2J |                    |              |                  |       |              |  |
|                     |    | 2K |                    |              |                  |       |              |  |

## **FOREIGN PATENT DOCUMENTS**

|   |    | DOCUMENT | ENT DATE NAME | NAME                                  |       | SUB<br>CLASS | TRANSLATION |    |
|---|----|----------|---------------|---------------------------------------|-------|--------------|-------------|----|
|   |    | NUMBER   |               | · · · · · · · · · · · · · · · · · · · | CLASS |              | YES         | NO |
|   | 2L |          |               |                                       |       |              |             |    |
|   | 2M |          |               |                                       |       |              |             |    |
|   | 2N |          |               |                                       |       |              |             |    |
|   | 20 |          |               |                                       |       |              |             |    |
| - | 2P |          |               |                                       |       |              |             |    |

# OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| PM)    | 20   | 'Printhead Design' from "2000 Torrey Pind | es Reasearch" pp83-151 |
|--------|------|---|------------------------|
|        | 2R   |   |                        |
|        | 28   |   |                        |
| EVAMIN | ED / |   | DATE CONCINCIO         |

10-20-03



### **COPY OF PAPERS ORIGINALLY FILED**

### PATENT APPLICATION

Sheet 1 of 1

| FORM P00-1449                        |
|--------------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR |
|                                      |
| APPLICANT'S INFORMATION DISCLOSURE   |
| STATEMENT                            |

ATTY. DOCKET NO. 10010463-1

10/028,450

APPLICATION NO. CONFIRMATION NO. 2047

APPLICANT

Brian Craig L

FILING DATE

GROUP

(Use several sheets if necessary)

Oct. 24, 2001 1615

#### REFERENCE DESIGNATION

3

5,6

Rev 05/02 (PTO1449)

### **U.S. PATENT DOCUMENTS**

| EXAMINER |     | DOCUMENT<br>NUMBER | PUBLICATION DATE | NAME               | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|----------|-----|--------------------|------------------|--------------------|---|
| PMA      | 1A  | 4,548,825          | Oct. 22, 1985    | Voss, et al.       |   |
| 10       | 1B  | 5,609,908          | Mar. 11, 1997    | Voss               |   |
|          | 1C  | 5,881,716          | Mar. 16, 1999    | Wirch, et al.      | RECEIVED  |
|          | 1D  | 5,925,732          | Jul. 20, 1999    | Ecker, et al.      |   |
| Must     | 1E  | 6,086,942          | Jul 11, 2000     | Carden, Jr. et al. | AUG 2 6 2002  |
|          | 1F  |                    |                  |                    | TECH CENTER 1600/2900   |
| ·        | 1G  |                    | •                |                    |   |
|          | 1Н  |                    |                  |                    |   |
|          | 11  |                    |                  |                    |   |
|          | 1J  |                    |                  |                    |   |
|          | 1 K |                    |                  |                    |   |

### **FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT<br>NUMBER | PUBLICATION DATE | NAME OF PATENTEE<br>OR APPLICANT       | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached              |  |
|--|----|--------------------|------------------|--|---|--|--|
|  | 1L |                    |                  |  |   |  |  |
|  | 1М |                    |                  |  |   | <del>                                     </del> |  |
|  | 1N |                    |                  |  |   | <del>                                     </del> |  |
|  | 10 |                    |                  |  |   | <del>                                     </del> |  |
|  | 1P |                    |                  | ************************************** |   |  |  |

### OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| 1      | 10     |            |   |  |    |   |
|--------|--------|------------|---|--|----|---|
|        |        |            |   |  |    |   |
| 1      | 1R     |            | *************************************** | * ************************************ |    | , |
|        |        |            |   |  |    |   |
|        | 15     | <u>. '</u> |   |  |    |   |
|        |        |            |   |  |    |   |
|        |        |            |   |  | ·  |   |
| XAMINE | My Ans | , , )      | DA                                      | TE CONSIDERED                          | ρS |   |